



Figure 1 | Home for an abundant microbiological flora. The human gut and (inset) a scanning electron micrograph of part of the small intestine, with some bacterial inhabitants picked out in green.

## Probiotics in Functional Bowel Disorders: Principles and Evidence

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# Topics

- Probiotics and public perceptions
- The gut microbiome and its development
- Rationale for probiotics in IBS-FBD
- Animal studies
- Human microbiome studies
- Clinical studies with probiotics for IBS
- Putting it together: meta-analysis and Syst Review
- Safety concerns?

# Definitions

- Probiotics

Live microorganisms which when administered in adequate amounts confer a health benefit on the host

*Food and Agriculture Administration/WHO (2001)*

- Prebiotics

Non-digestible food ingredients that have a beneficial effect through their selective metabolism in the intestinal tract

- Inulin
- Fructose oligosaccharides
- Oligosaccharides in human breast milk

Selectively stimulates the growth and activity of certain species of intestinal bacteria

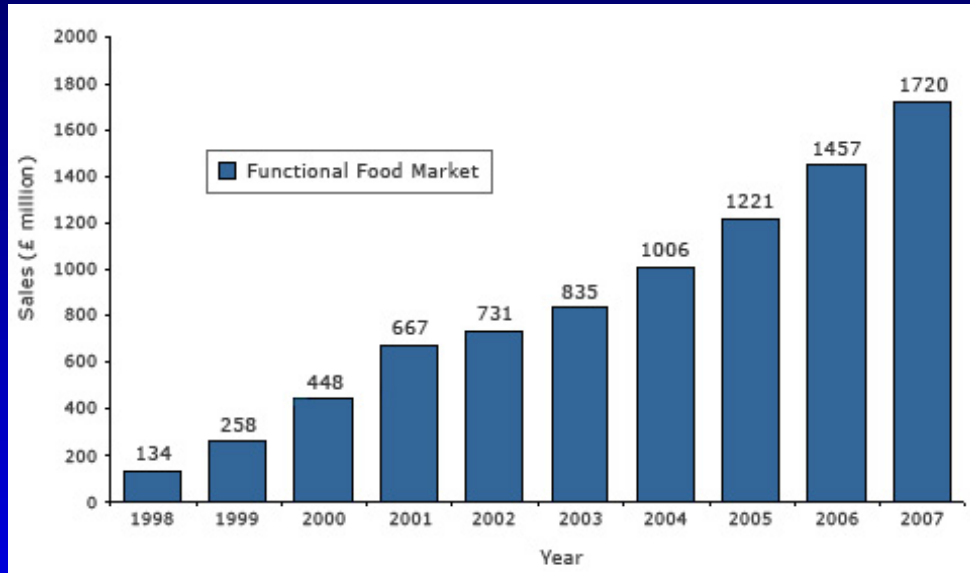
- *Bifidobacteria*
- *Lactobacilli*

- Synbiotics

Prebiotics + Probiotics



# Interest and spending in functional foods is climbing steadily



- Seen as natural alternative to traditional medical Rx
- Direct to consumer advertising
- Claims often not justified by evidence
- Claims not generally evaluated by FDA
- “This product is not intended to diagnose, treat, cure, or prevent any disease”

“Functional foods” = foods or dietary components that may provide a health benefit beyond basic nutrition

# Sample claims



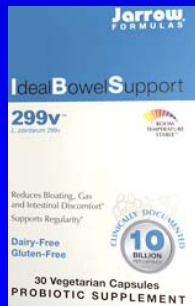
“promotes a healthy digestive system to help restore your natural balance (*B. infantis*)”



“Once daily capsule contains probiotics to help with occasional constipation, diarrhea, gas, and bloating”

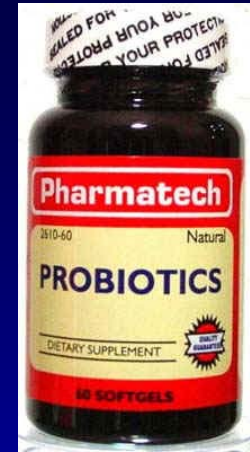


“...helps to naturally regulate your intestinal transit”



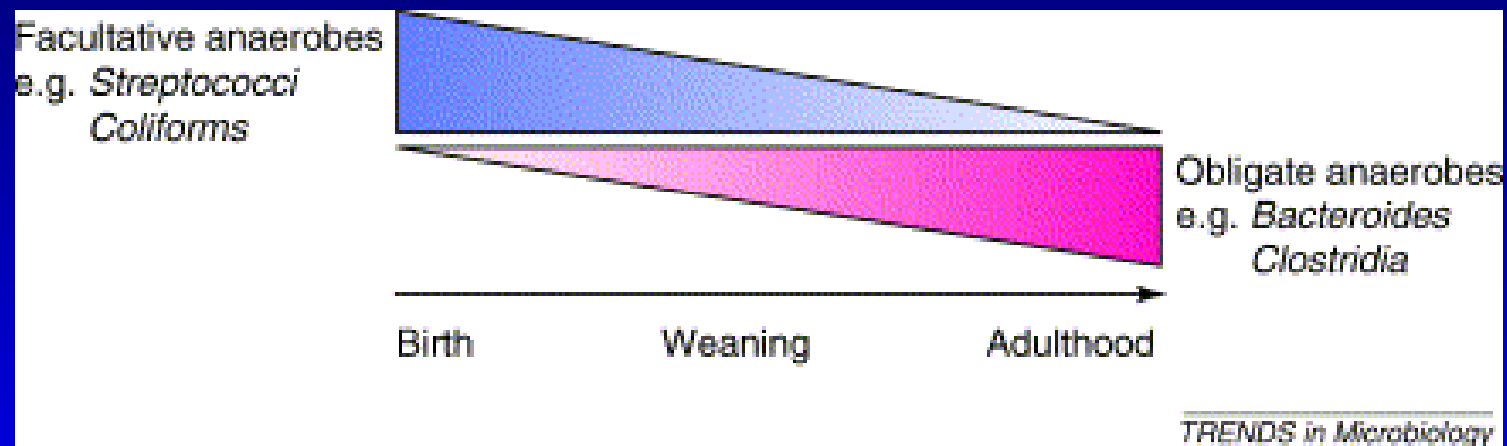
“Reduces bloating, gas and intestinal discomfort. Supports regularity”

# False advertising



- 5 human and 8 veterinary probiotics examined
- Only 2 matched contents on label.
- Many bacteria with no known probiotic properties
- Several putative pathogens

# Gut flora composition changes during life

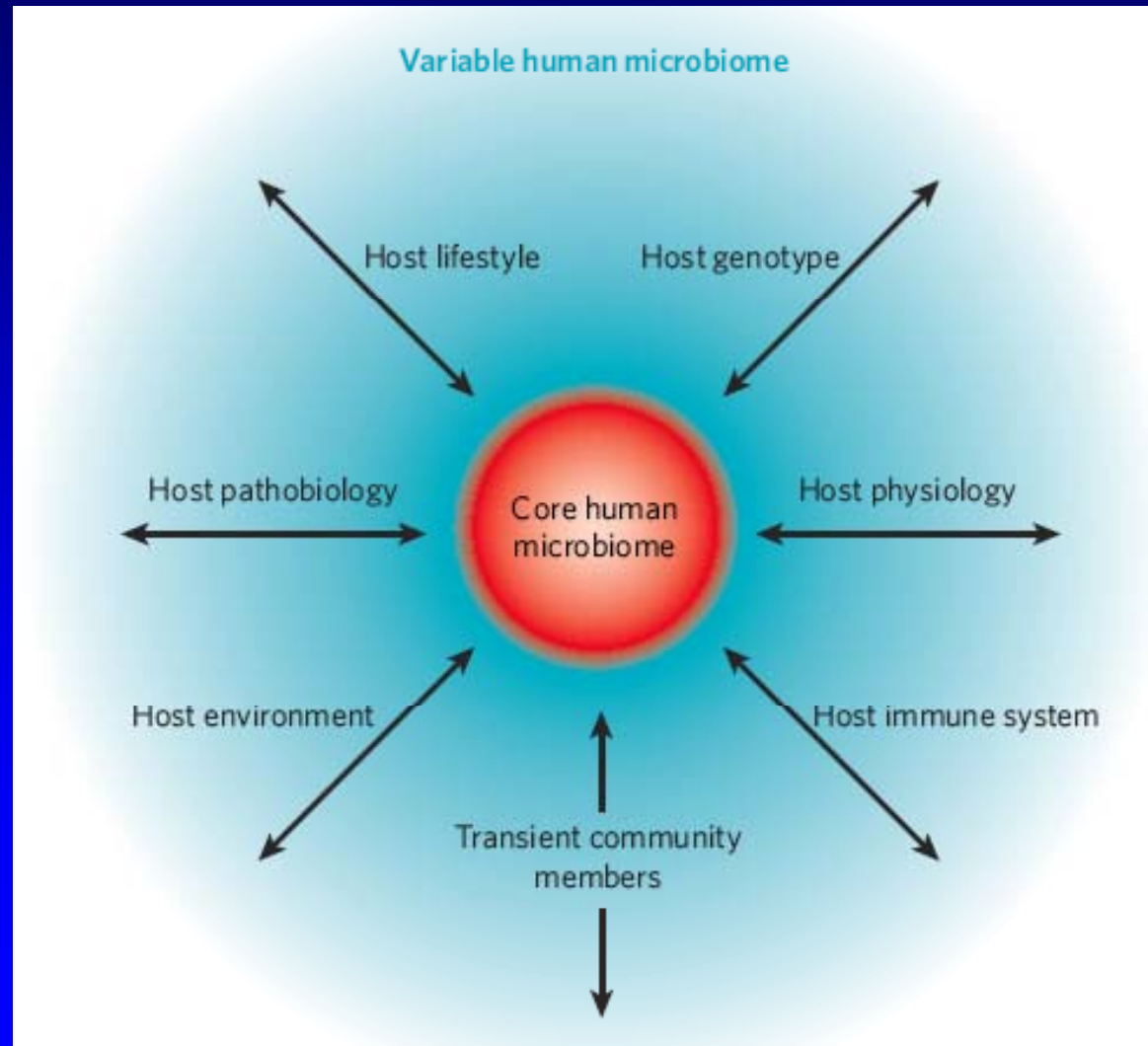


Factors:      Age  
                 Diet  
                 Health status

# Implications

- Microbial colonization of neonate NOT STEREOTYPICAL.
  - Random and chaotic
  - Initial composition may depend on exposures
  - Maternal “signatures” from breast milk, vagina--> not persisting
- Environmental and incidental exposures likely determine early colonization.
- “Well-adapted” adult flora eventually outcompete the other colonizers.

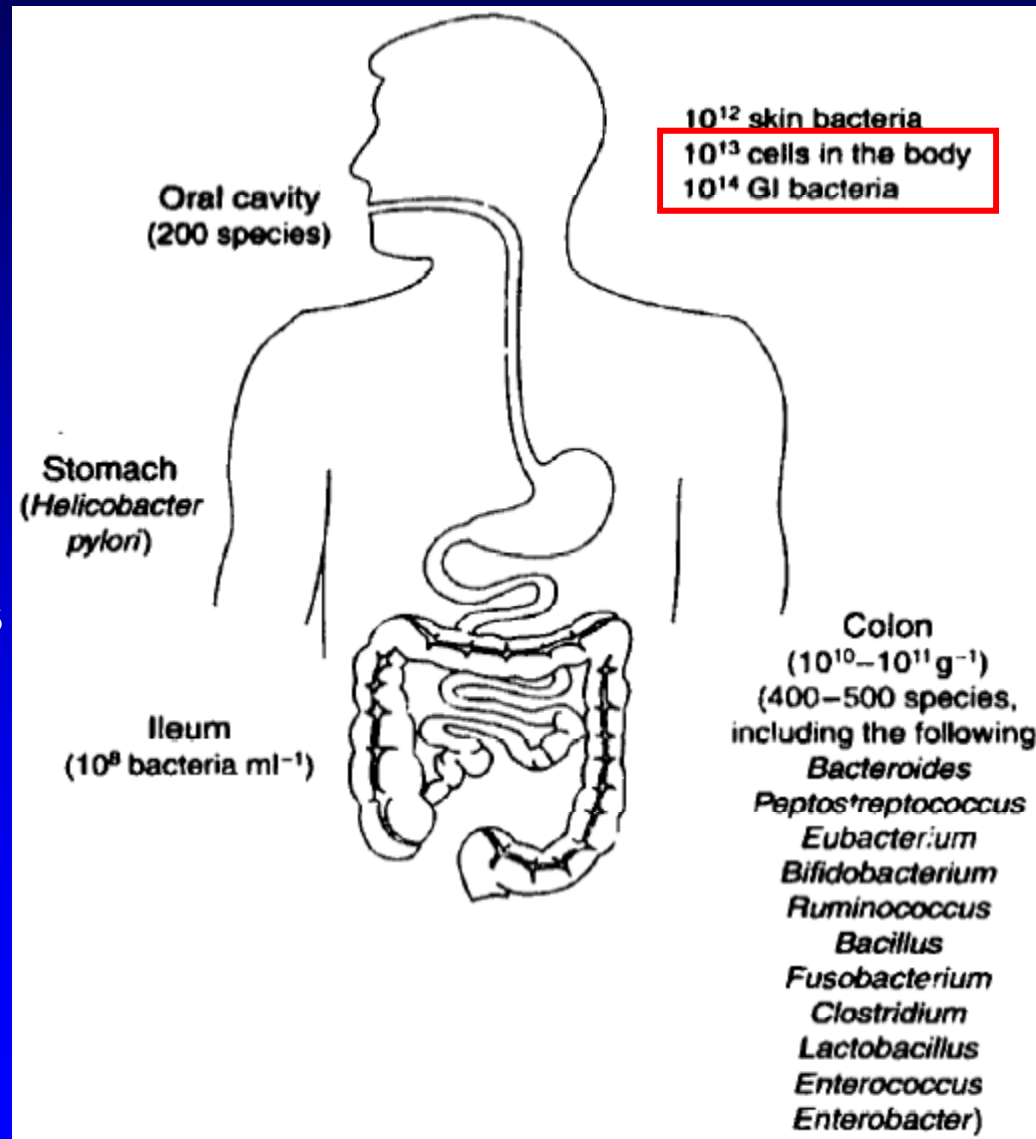
# Common and variable components make up the gut microbiome



# Humans 1 Bacteria 10

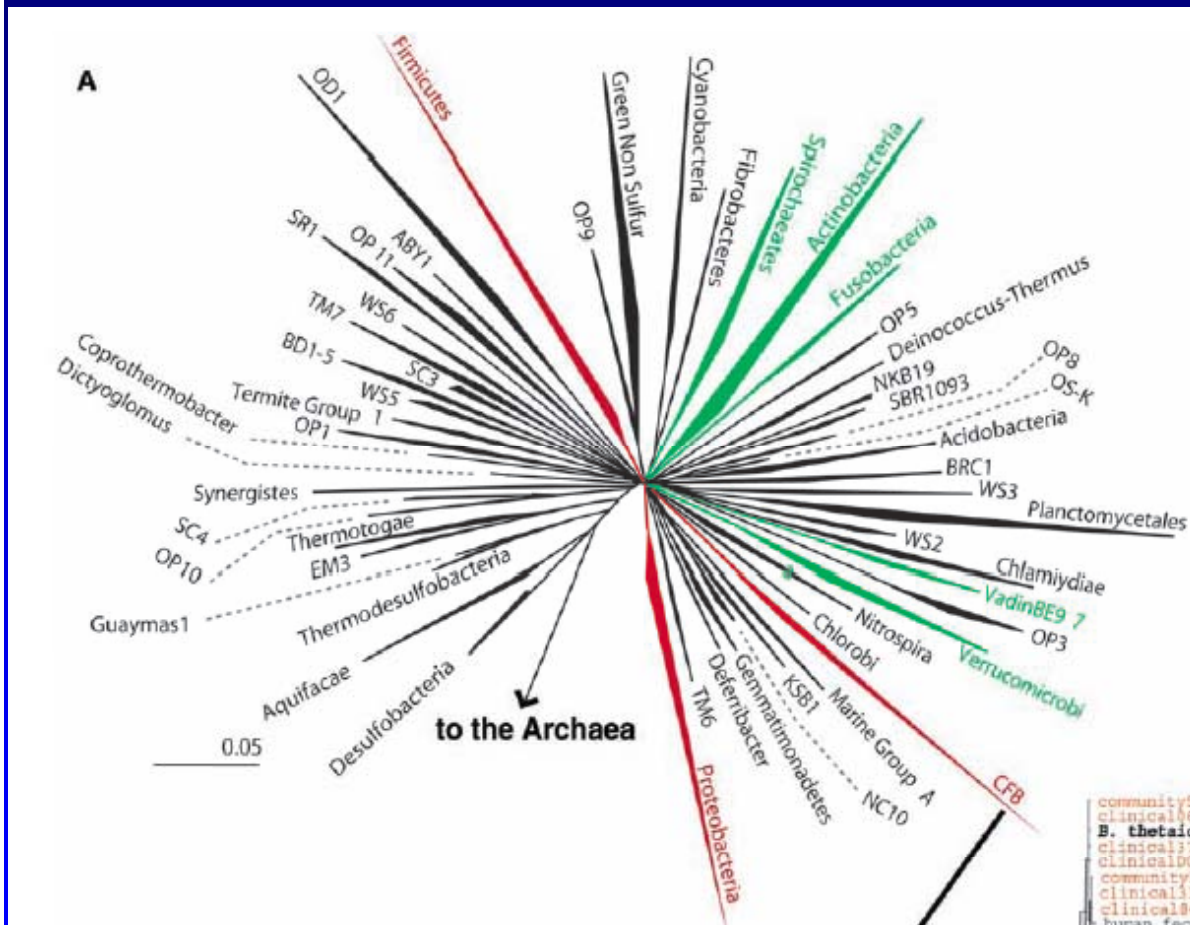
Saliva:  $10^9$  /ml  
Dental plaque:  
 $10^{11}$  /gm

Upper GI:  
 $10^{3-4}$  /ml contents



99.9% obligate  
anaerobes

# Diversity of bacteria in the human gut



- Based on sequencing of ~9000 16S rRNA genes
- Each wedge represents a “division” or superkingdom
  - Abundant
  - Rare
  - Undetected
- >90% of bacteria belong to only 2 divisions out of ~70
  - Firmicutes
  - Bacteroidetes

# Is the gut microbiota important in IBS pathogenesis?

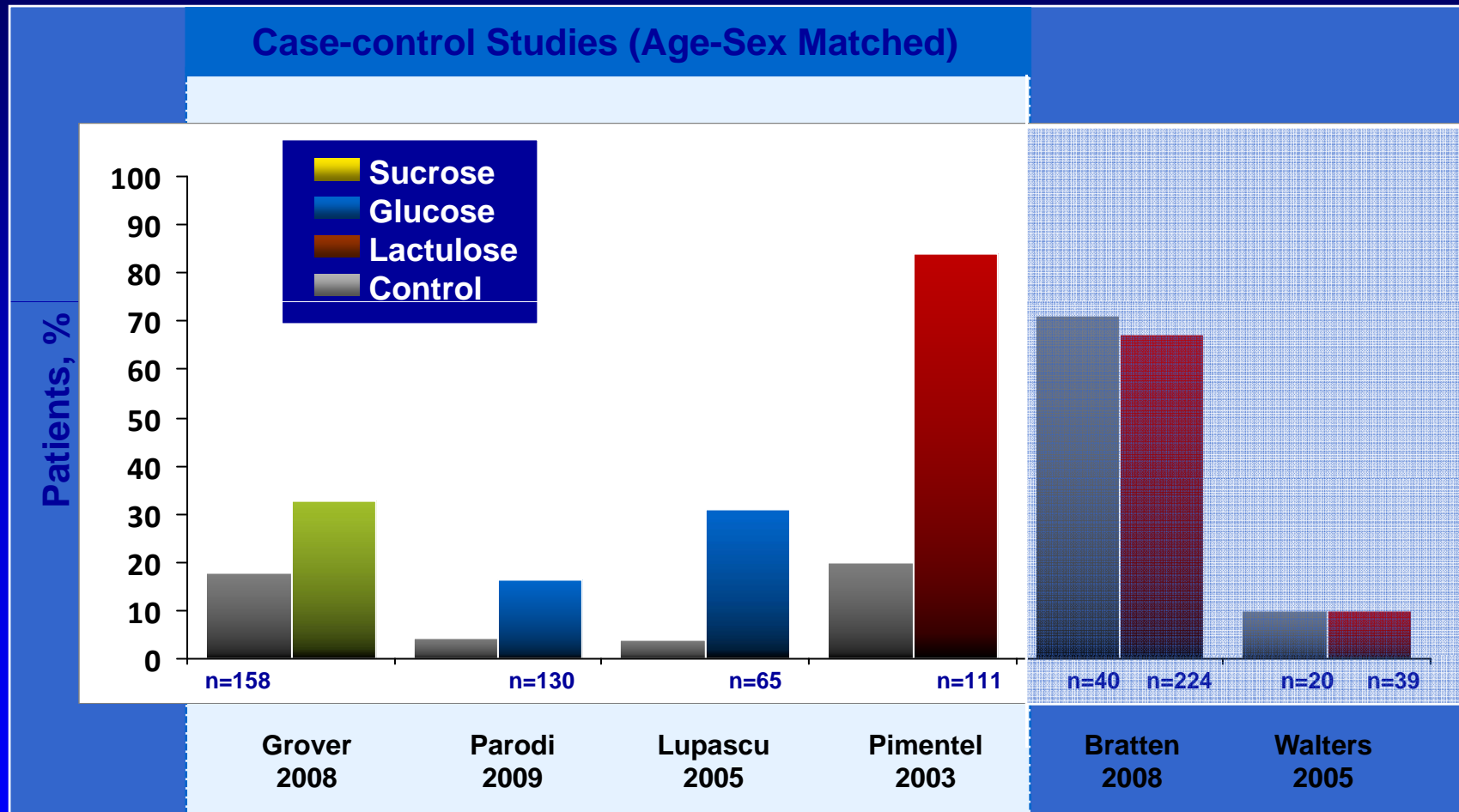
- Differences in the fecal and mucosa-associated microbiota (MAM) of IBS pts and healthy controls
- Increasing evidence of activation of intestinal immune system in IBS
- Infectious trigger in PI-IBS
- Link between SIBO and IBS

# PI-IBS after acute gastroenteritis

Author	Follow Up	PI-IBS	%
McKendrick et al 1994	12m	12/38	31
Gwee et al 1999	3m	22/100	22
Neal et al, 1997 & 2003	6m	23/357	7
	6yr	14/192	7.3
Thornley et al 2000	6m	9/93	9
Okhuysen, et al 2004	6m	6/60	10
Mearin, et al, 2005	12m	24/271	10
Marshall, et al, 2005	2-3 yrs	380/1137	33.5%

Halvorson '06 PI-IBS meta-analysis: 9.8% prevalence in Infectious diarrhea group. 1.2% in controls

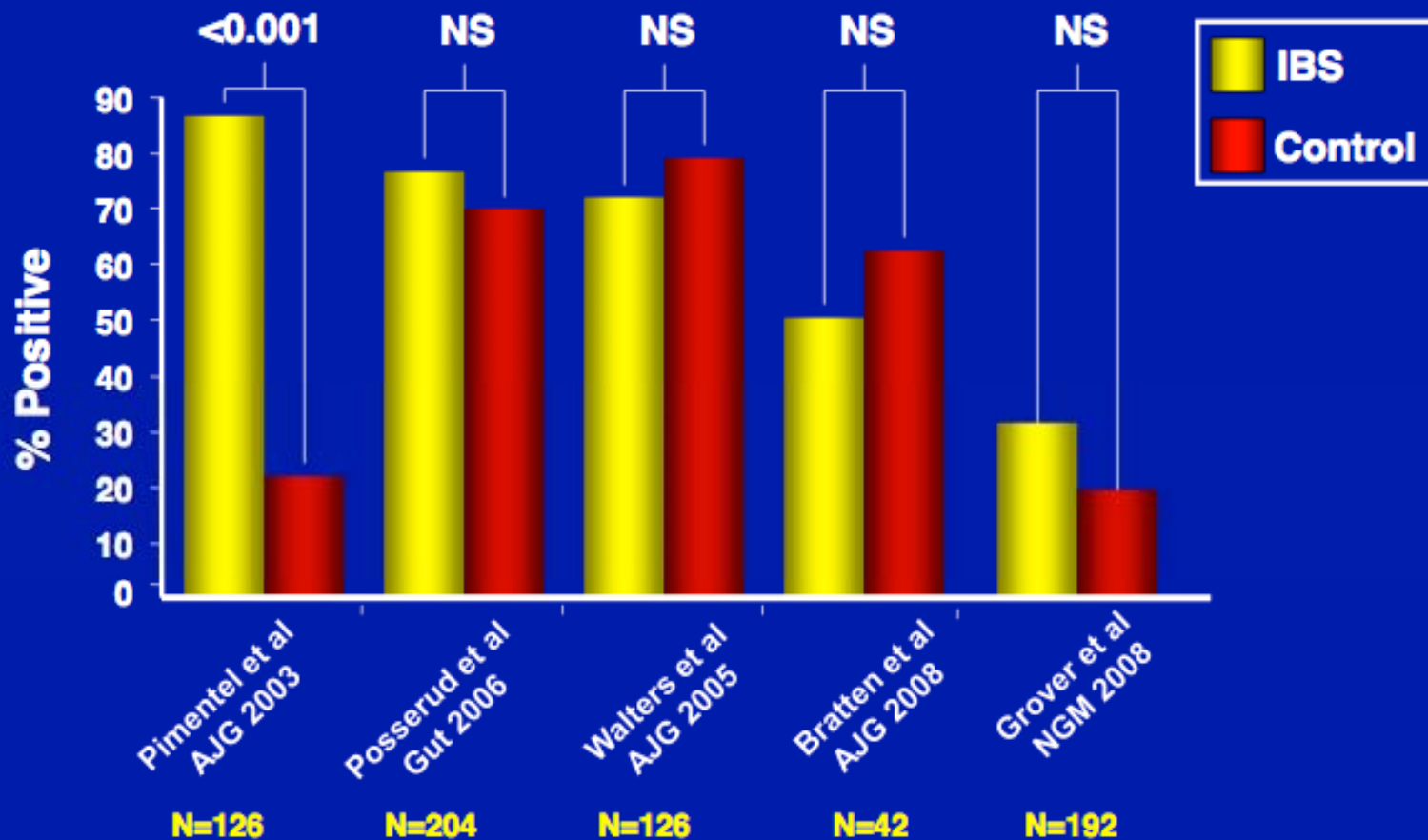
# Breath testing and IBS: Point



Pimentel 2009

# Breath testing and IBS: Counterpoint

## IBS vs. Controls: H<sub>2</sub> rise > 20 ppm by 180



Spiegel, post DDW 2009

- A number of studies show high prevalence of SIBO in IBS patients, based on HBT
- Antibiotics can improve IBS symptoms in a significant proportion of these patients

# Germ-free rodents have altered motility

Neuromuscular / Motor Event	Finding	Bacteria
Enteric nervous system	Reduced GABA and VAP-33 gene expression	<i>Bacteroides thetaiotaomicron</i>
Intestinal smooth muscle	Reduced $\gamma$ -actin and CRP-2 gene expression	<i>Bacteroides thetaiotaomicron</i>
Gastric emptying	Delayed	Whole flora
Intestinal transit	Delayed	Whole flora; <i>Lactobacillus acidophilus</i> and <i>Bifidobacterium bifidum</i>
MMC cyclic recurrence and distal propagation	Reduced	Whole flora
Cecal size	Enlarged	Whole flora; anaerobic flora

GABA, gamma-amino butyric acid; VAP, vesicle-associated protein; CRP, cysteine-rich protein; MMC, migrating motor complexes.

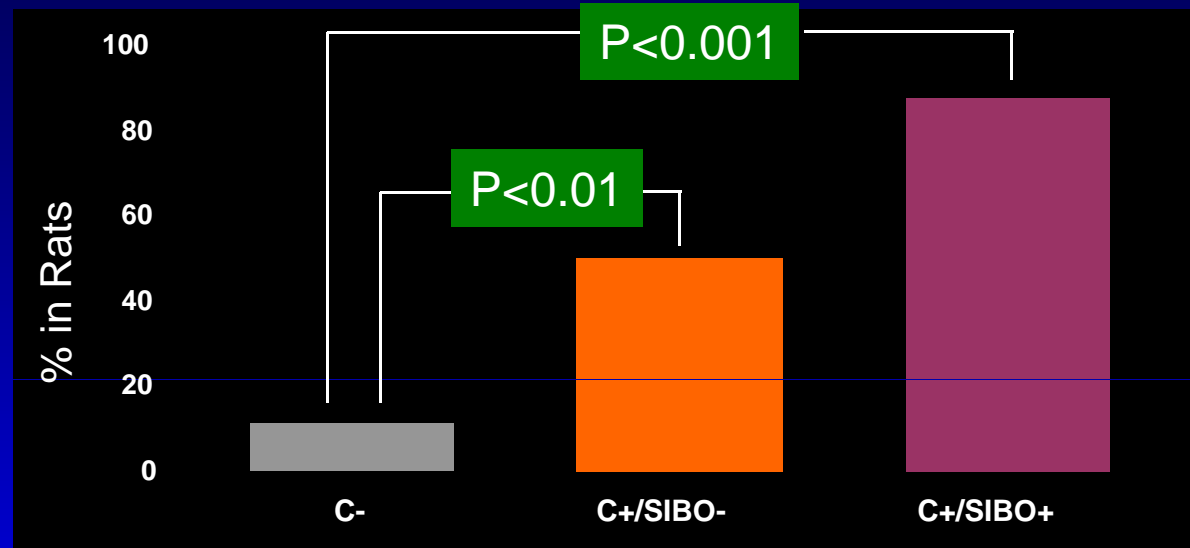
Functions normalized following introduction of normal intestinal flora

## Implications:

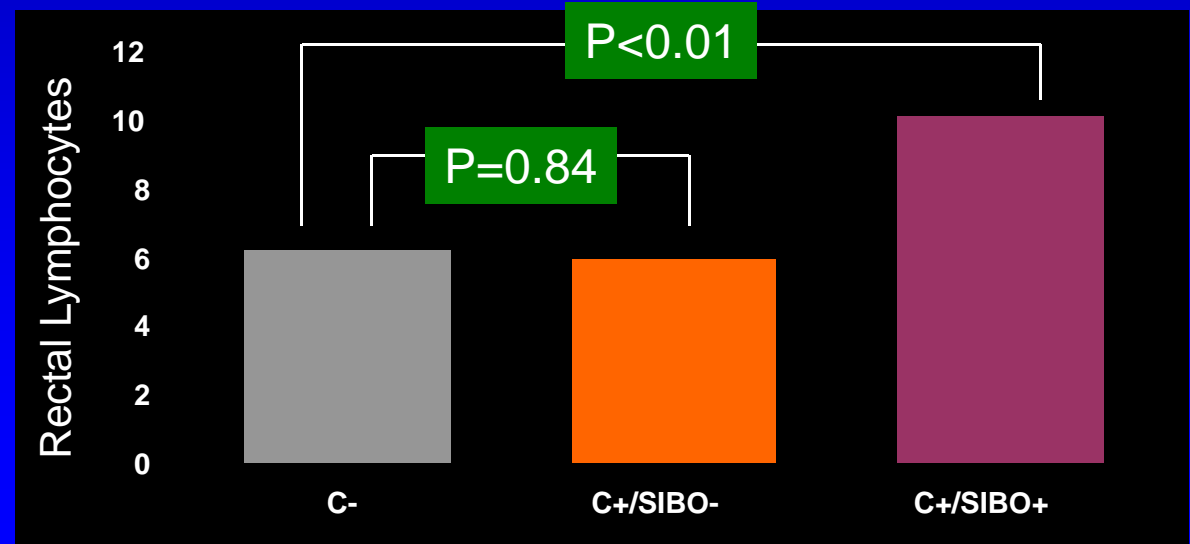
- Enteric microflora play an important role in maintaining normal intestinal function
- Changes in intestinal microflora can lead to significant alterations in GI function

# PI-IBS rat model 3 months post-*Campylobacter jejuni* infection

Persistent altered stool consistency

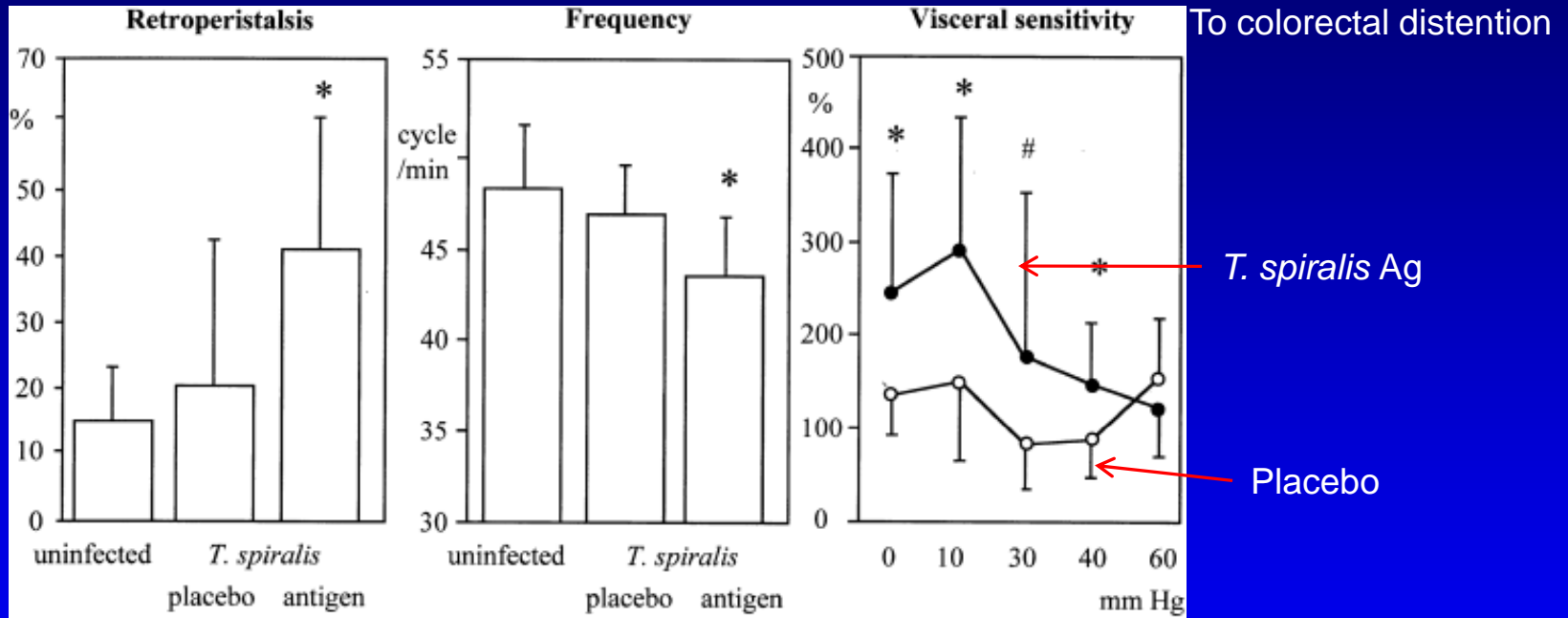


Increased rectal lymphocytes

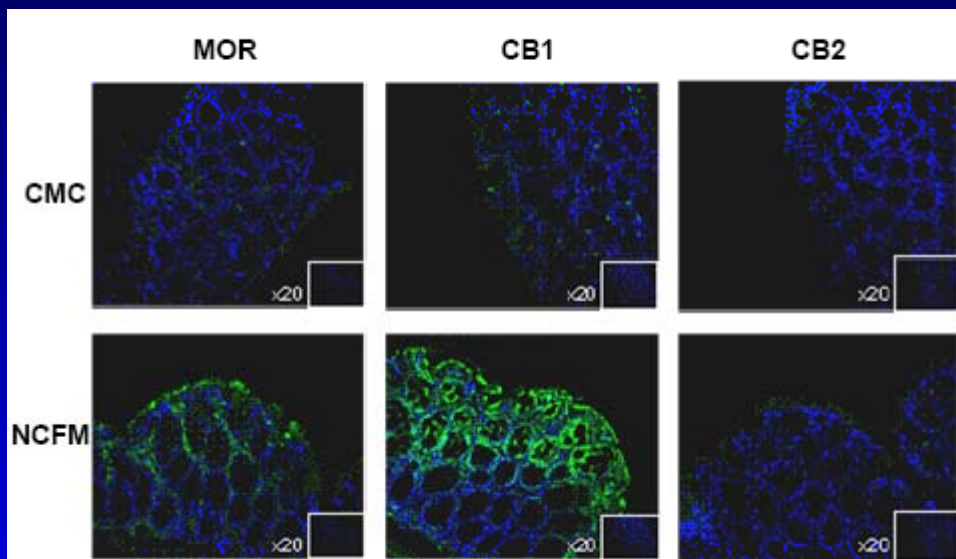


# Alterations in gut motility and hyperalgesia in *Trichinella spiralis* mouse infection model

Day 70  
Post infec



# Probiotic effects on murine pain receptors



- *L. acidophilus* NCFM induces expression of intestinal pain receptors (MOR, CB1, CB2)

- Decreased visceral sensitivity

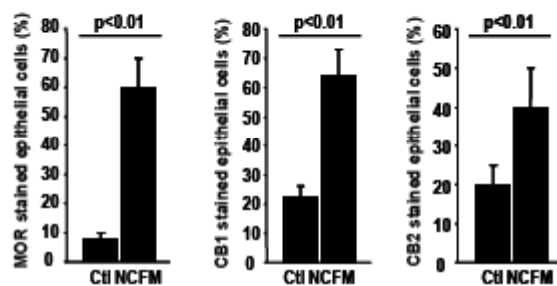
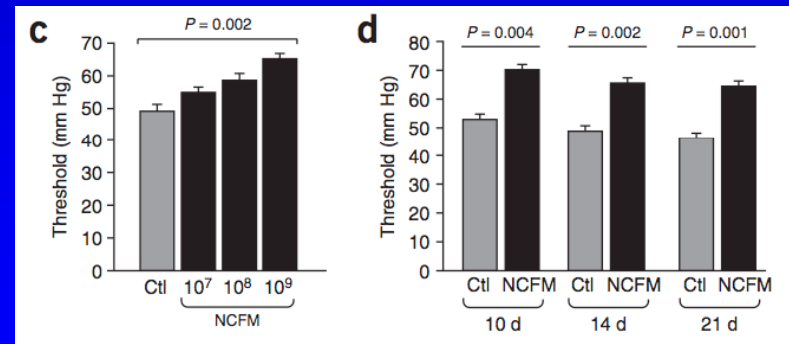


Figure 2. *In vivo*, Balb/c mice were orally fed with *L. acidophilus* NCFM (10e9 CFU/day) over 15 days. MOR and CB1 and CB2 expression was evaluated in the colon of mice at the mRNA and protein levels by real time PCR and immunohistochemistry (green).



## Limitations in evaluating gut microbiota in IBS

- Complete composition of GI microbiota remains uncharacterized
- Heterogeneity of functional bowel disorders
- Stability of intestinal microbiota in IBS patients may fluctuate
- Topographic/geographic variability in the GI microbiota
- Mucosa-associated microbiota may differ from luminal bugs
- Other factors may affect gut microbiota: motility, diet, meds
- Current methodologies to assess the densities and composition of gut microbiota have inherent limitations

# Altered microbiota in colon of IBS patients

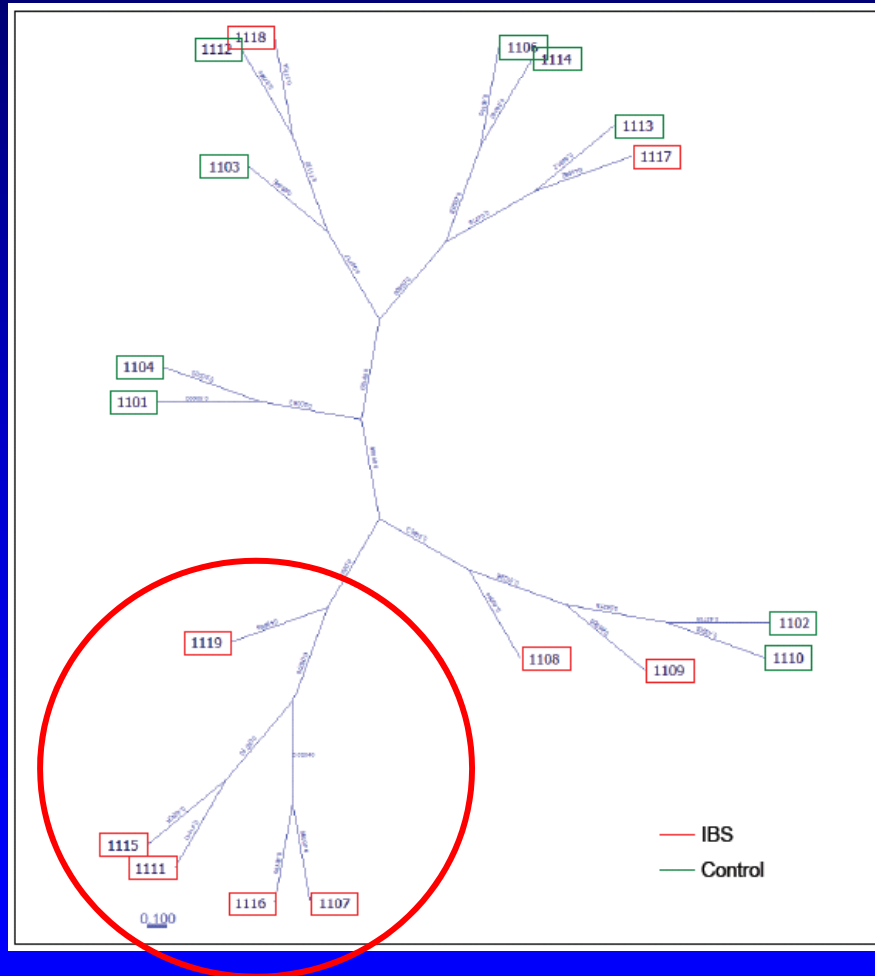
**Table 1** Results of recent studies on the composition of the fecal microbiota in irritable bowel syndrome patients

Reference	No. IBS patients	Method	Outcome
Si <i>et al.</i> <sup>19</sup> (2004)	Unsubtyped IBS ( <i>n</i> = 25)	Culture	↓ <i>Bifidobacteria</i> and ↑ <i>Enterobacteriaceae</i> in IBS patients
Matto <i>et al.</i> <sup>20</sup> (2005)	IBS-D ( <i>n</i> = 12) IBS-C ( <i>n</i> = 9) IBS-M ( <i>n</i> = 5)	Culture PCR-DGGE	↑Coliforms and ↑aerobic bacteria/total bacteria in IBS patients ↑ <i>Clostridium</i> and ↓ <i>Eubacterium</i> in IBS patients
Malinen <i>et al.</i> <sup>22</sup> (2005)	IBS-D ( <i>n</i> = 12) IBS-C ( <i>n</i> = 9) IBS-M ( <i>n</i> = 6)	qPCR	↓ <i>Lactobacillus</i> in IBS-D patients and ↑ <i>Veillonella</i> in IBS-C patients
Maukonen <i>et al.</i> <sup>23</sup> (2006)	IBS-D ( <i>n</i> = 7) IBS-C ( <i>n</i> = 6) IBS-M ( <i>n</i> = 3)	PCR-DGGE RT-PCR-DGGE	↓ <i>Clostridium coccooides-Eubacterium rectale</i> in IBS-C patients
Kassinen <i>et al.</i> <sup>24</sup> (2007)	IBS-D ( <i>n</i> = 10) IBS-C ( <i>n</i> = 8) IBS-M ( <i>n</i> = 6)	qPCR with nucleic acid fractionation	↓ <i>Collinsella</i> , <i>Clostridium</i> , and <i>Coprococcus</i> species in IBS patients
Kerckhoffs <i>et al.</i> <sup>25</sup> (2009)	IBS-D ( <i>n</i> = 14) IBS-C ( <i>n</i> = 11) IBS-M ( <i>n</i> = 16)	FISH	↓ <i>Bifidobacterium</i> in IBS patients
Tana <i>et al.</i> <sup>4</sup> (2010)	IBS-D ( <i>n</i> = 8) IBS-C ( <i>n</i> = 11) IBS-M ( <i>n</i> = 7)	Culture qPCR	↑ <i>Lactobacillus</i> in IBS patients ↑ <i>Veillonella</i> in IBS patients

DGGE, denaturing gradient gel electrophoresis; FISH, fluorescent in situ hybridization; IBS, irritable bowel syndrome; qPCR, quantitative real-time polymerase chain reaction.

- Inconsistent results on the species found in feces of IBS
  - Disease heterogeneity
  - Different techniques and resolving power
  - Feces composition does not represent entire gut microbiota

# The gut microbiota in D-IBS differs from healthy controls



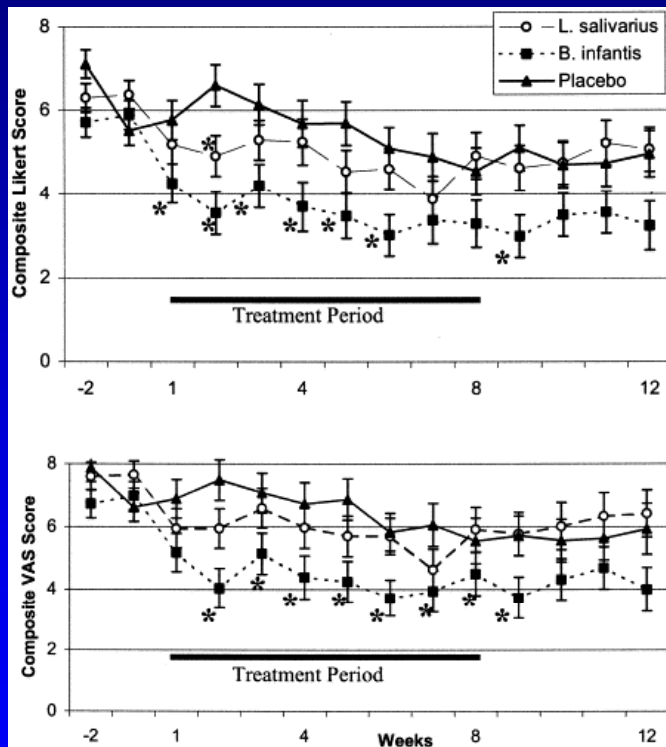
- T-RFLP – a non-selective method to investigate complex microbial community using 16SDNA genetic bacterial profiles
- Genetic profiles generated from fecal samples of D-IBS and controls
- Significant portion of the
- fingerprint profiles from D-IBS clustered separately from controls

# Potential mechanisms of probiotic effects on functional bowel disease

- Interaction with host GI mucosal immune system
- Direct modulation of intestinal pain
  - Upregulation of Mu and cannabinoid receptors by *L. acidophilus*
- Alteration of GI mucosal integrity
  - Induction of mucin gene MUC2 expression in colon by VSL#3
- Reduction of bacteria-produced intracolonic gas
  - Increased *Lactobacilli* and *Bifidobacteria*
  - Decreased *Clostridia* and *Veillonella*
- Increased production of intracolonic SCFA and increased colonic propulsion
- Reduced bile acid malabsorption in D-IBS

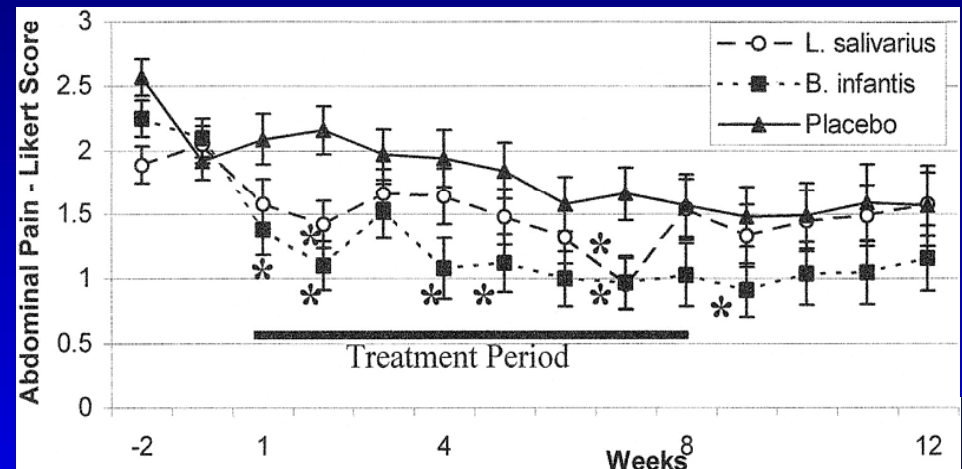
# Bifidobacterium infantis and Lactobacillus salivarius

## Composite of IBS symptoms (Likert scale and VAS scores)



Significant reduction in composite scores

## Abdominal pain scores (Likert scale)



Significant improvement in pain/discomfort

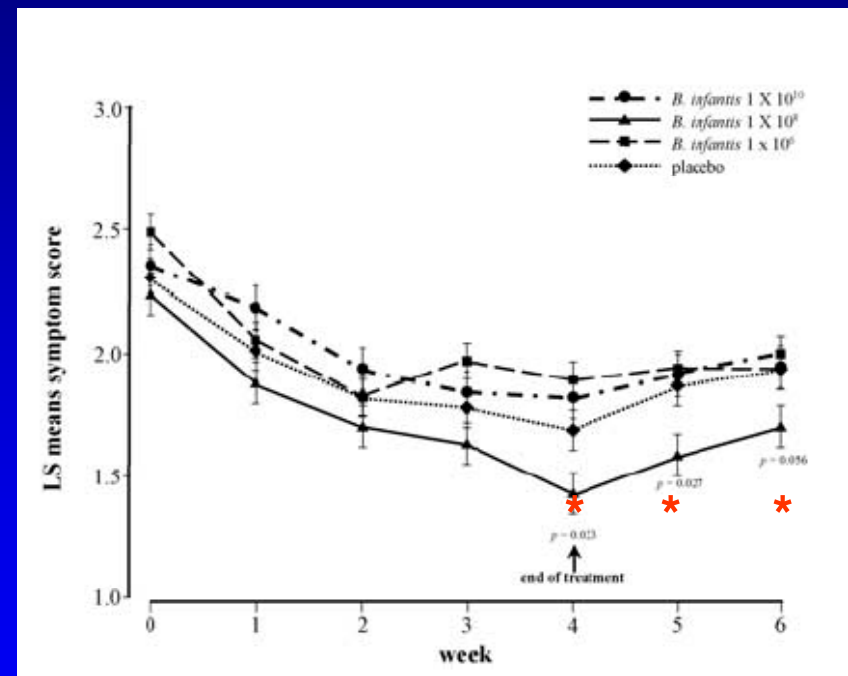
The beneficial effects were with *B. infantis* but not with *L. salivarius* or placebo (\* $P < .05$ )

O'Mahony '05, Gastro

# *B. infantis*

- Large-scale, multicenter, clinical trial
- 362 women with IBS
- Primary care clinics
- Placebo vs *B. infantis* at dose of
  - $1 \times 10^6$  CFU/ml
  - $1 \times 10^8$  CFU/ml
  - $1 \times 10^{10}$  CFU/ml
- 4 week treatment and 2 week washout

## Abdominal pain scores (Likert 0 to 5 scale)

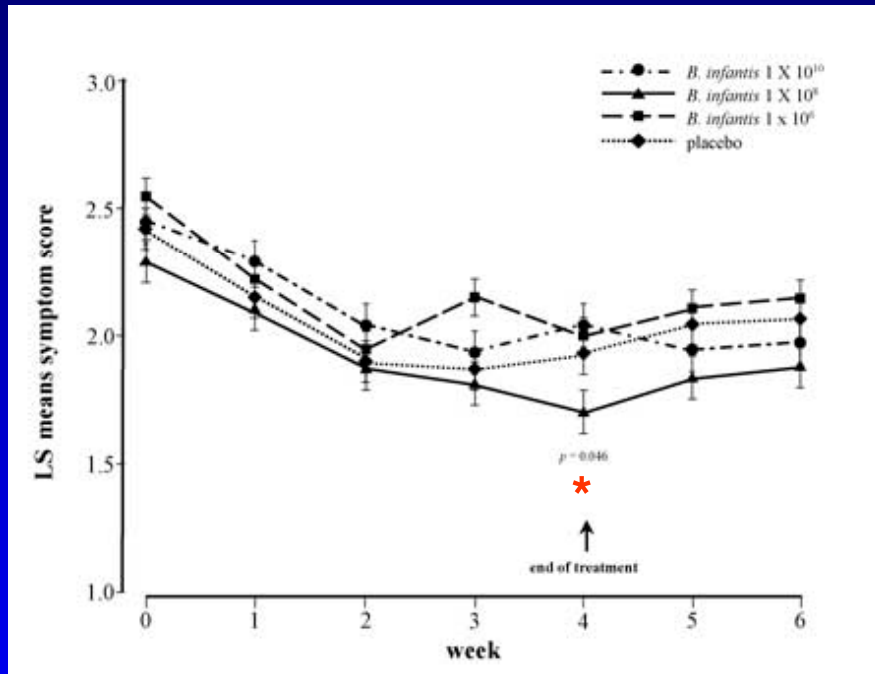


Improvement in pain/discomfort score at end of treatment (4 weeks) with *B. infantis* 35624  $1 \times 10^8$  (\* $P < .05$ )

Whorwell '06 AJG

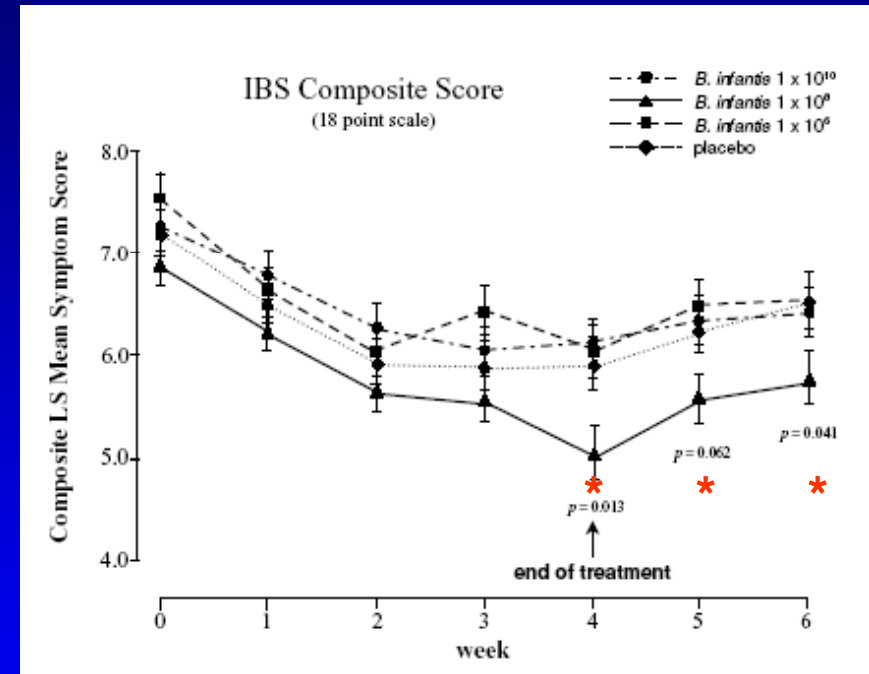
# B. infantis

## Bloating/Distention (Likert 0 to 5 scale)



(\**P* < .05)

## IBS Composite Score (Likert 0 to 15 scale)



(\**P* < .05)

- All clinical benefits limited to 1x10<sup>8</sup> dose !?

Slow, inefficient capsule rupture



$1 \times 10^{10}$  at 66 min



$1 \times 10^8$  at 10 min

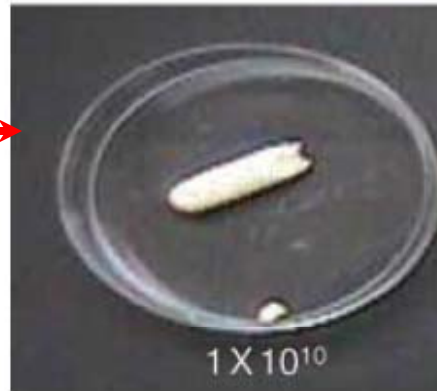


$1 \times 10^6$  at 9 min



Formulation following Exposure to Moisture

Capsule contents more solidified



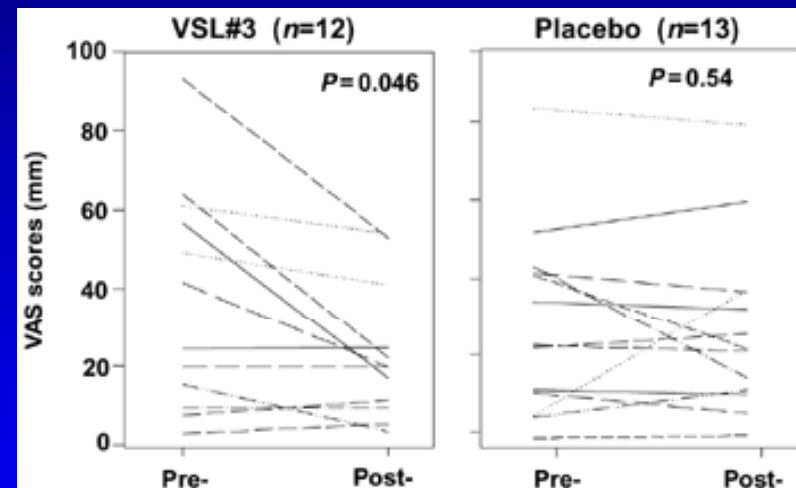
## *L. plantarum 299v*

- Complete pain resolution at 4 wks ( $p=0.0012$ )
- Improved global IBS symptoms ( $p<0.0001$ )
  - Niedzielin '01 Eur J Gastro Hep
- Decreased flatulence at end of 4 wk treatment ( $p<0.05$ )
- Decreased gas production 12 months after trial ( $p<0.05$ )
  - Nobaek '00 AJG

# VSL#3

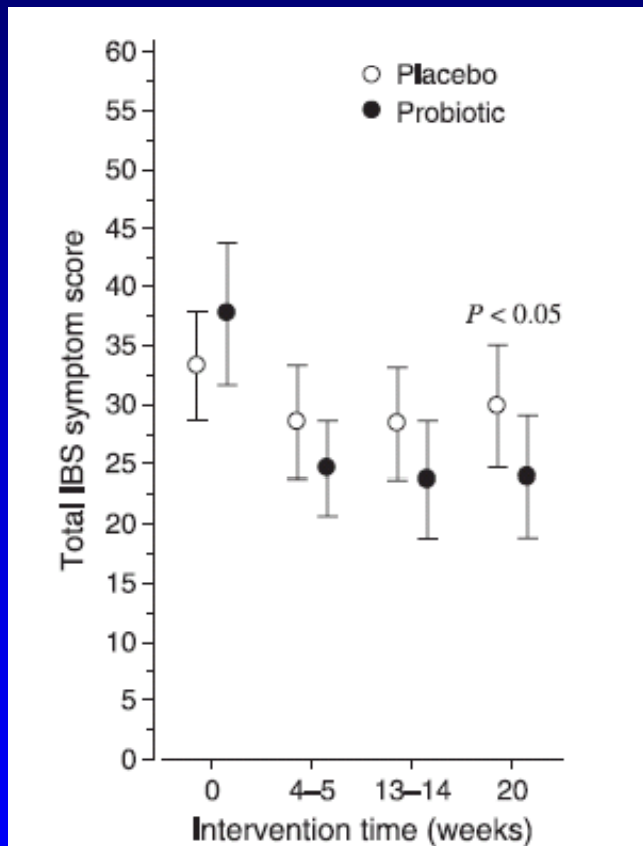
- Composed of 8 species:
  - *Bifidobacterium longum, infantis, and breve*
  - *Lactobacillus acidophilus, casei, bulgaris, and plantarum*
  - *Streptococcus salivarius spp thermophilus*
- Differences in PEP of IBS symptoms vs placebo, not significant
- Secondary endpoint of bloating was significantly different
- Larger study failed to observe bloating difference, but observed significant decrease in flatulence

Individual abdominal bloating scores using a visual analogue scale (mm)



Significant improvement in the VSL#3 group ( $P = 0.046$ ), but not in the placebo group ( $P = 0.54$ ).

# Dairy-juice probiotic mix

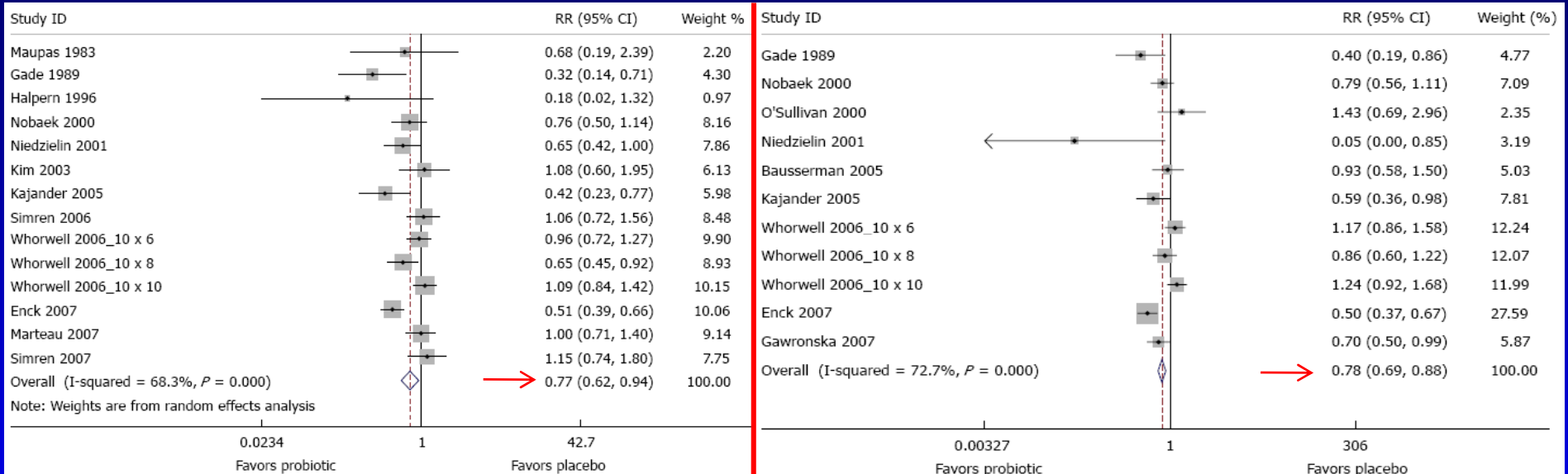


- Composed of  $10^7$  of each:
  - *L. rhamnosus* GG
  - *L. rhamnosus* Lc705
  - *B. animalis* spp lactis Bb12
  - *Propionibacterium freundenreichii*
- 5 month trial
- Predefined IBS symptom score (abdpain + distention + flatulence + rumbling)
- At wk 20,  $p=0.0083$ . No signif differences at earlier times
- Limited by small sample (86)

# Meta-analysis of probiotics for IBS

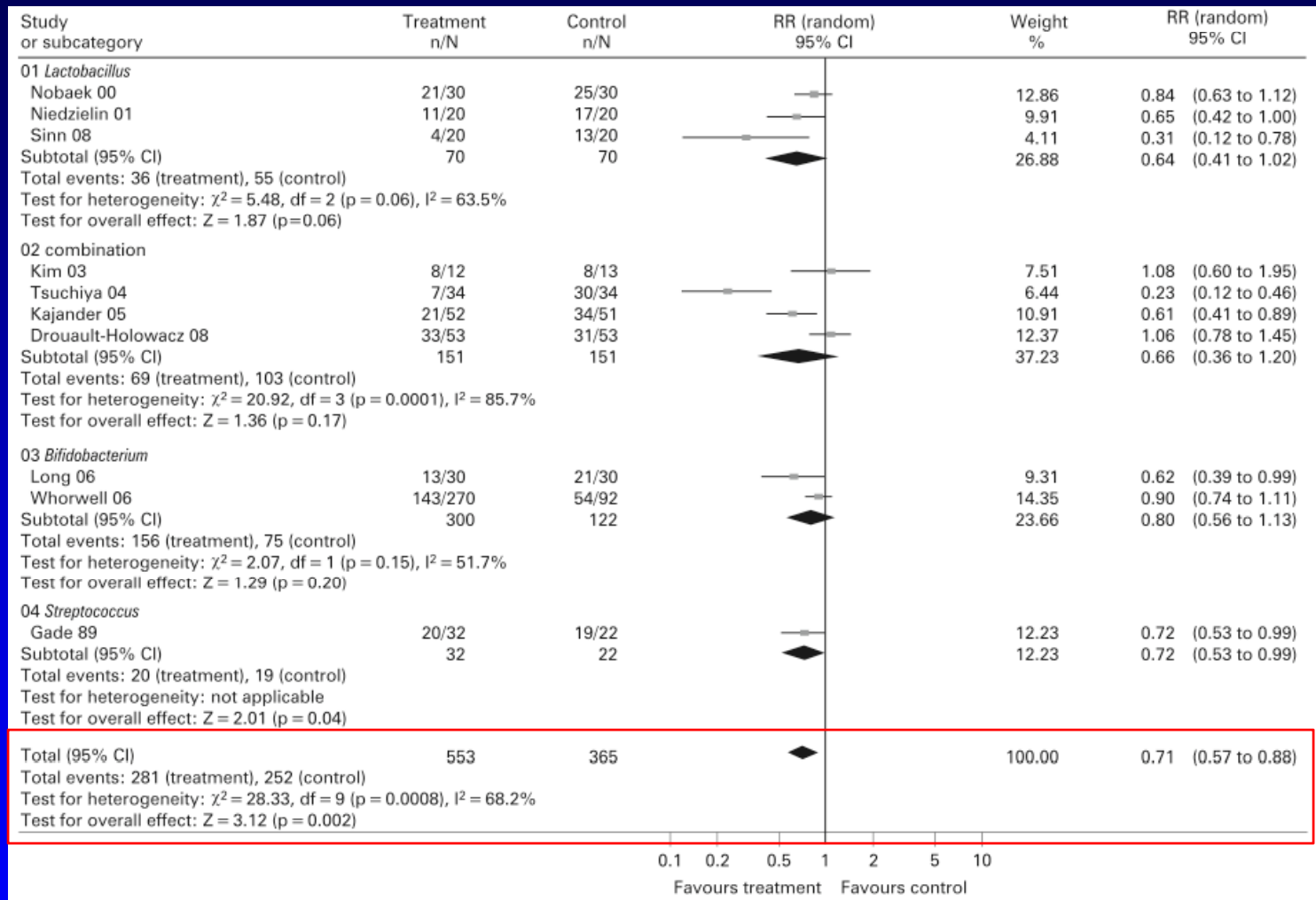
MacFarland, WJG '08

12 RCT measuring relative risk of IBS symptoms 10 RCT measuring relative risk of abdominal pain



- The authors concluded that there are beneficial effects of the use of probiotics in IBS
- Note grouping together all probiotic bacteria under one umbrella despite known differences in characteristics and intestinal effects of different bacteria.
- Large variability in study design, bacteria, dosage, and outcome measures quantitative pooling of data is not feasible

# Systematic review: Probiotics for IBS



Variety of species, strains, and doses of probiotics used

# Systematic review: Probiotics for IBS

- Heterogeneity and possible funnel plot asymmetry
  - NNT of 4.
  - Effectiveness likely overestimated
- Higher quality studies reported a more modest treatment effect
  - Trials with Jadad score  $\geq 4$  --> RR = 0.86
  - Trials with Jadad score  $< 4$  --> RR = 0.52
- No significant differences in adverse events between probiotic group and placebo (when reported)

# Are probiotics safe?

- Probiotics viewed by many as “natural” alternatives to traditional medicines
- Most papers report AE rates equivalent to controls/placebos
- Recent reports suggest probiotics may present substantial mortality risk to seriously ill or immunocompromised
  - Severe acute pancreatitis ---> increased rate of intestinal ischemia and mortality in probiotic group ( $p < 0.01$ ) Baseline'08 Lancet
- Non-traditional safety concerns:
  - Unpredictable behavior of naturally occurring microbes
  - Unpredictable behavior of genetically altered microbes
  - Unpredictable interactions of bacteria with human host
  - Unexpected release of novel bacteria into the environment

# Take home messages

- Strong scientific and epidemiological studies demonstrate alterations in the gut microbiota can lead to functional GI symptoms --> rationale for probiotics is strong
- Enthusiasm and claims for probiotics exceed the scientific evidence to support its use
- Results are highly species or strain specific, and should not be extrapolated to other bugs, i.e. not all probiotics are the same
- “Best evidence” to date for
  - *Bifidobacterium infantis* 35624 (sold as Align)
  - *Lactobacillus plantarum* 299v
  - VSL#3 (for bloating, flatulence)
- Need large, well-designed controlled trials
- Overall safety record is good; caution in the seriously ill or immunocompromised patient
- Bar is “set low” to use as adjunctive therapy or for non-responders to traditional therapy